



PTO/SB/05 (2/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| UTILITY | | | |
|--------------------|---|--|--|
| PATENT APPLICATION | | | |
| TRANSMITTAL | 1 | | |

49321-1 Attorney Docket No. Doherty, First Inventor or Application Identifier K. Joni HER-2 BINDING ANTAGONISTS Title 0

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) Express Mail Label No.

EM563437079US

| See MPEP d | APPLICATION ELEMENTS hapter 600 concerning utility patent applica | | Assistant Commissioner for Patents ADDRESS TO: Box Patent Application Washington, DC 20231 | | | |
|--|--|--|---|--|--|--|
| 1. (s 2. X Si (p -1 -2 -3 -4 -6 -7 -7 -7 -7 -7 -7 -7 -7 -7 -7 -7 -7 -7 | Fee Transmittal Form (e.g., PTO/SB Submit an original and a duplicate for fee properification [Total Pa referred arrangement set forth below] Descriptive title of the Invention Cross References to Related Applicat Statement Regarding Fed sponsored Reference to Microfiche Appendix Background of the Invention Brief Summary of the Invention Brief Description of the Drawings (if file Detailed Description Claim(s) Abstract of the Disclosure rawing(s) (35 U.S.C. 113) [Total She Declaration [Total Page 1] [Total She More Box 5 below [Note Box 5 below 1] [Total She Signed statement attack inventor(s) named in the see 37 C.F.R. §§ 1.63(d) proration By Reference (useable if Box entire disclosure of the prior application of the oath or declaration is supplied it desidered to be part of the disclosure of sidered to be part of the disclosure of sidered to be part of the disclosure of the part of t | pocessing) ges 33] ges 33] ges 33] ges 33] ges 30] ges 30] ges 10] ges 2] ges 3] ges 4] ges 2] ges 4b, is checked) on, from which a dunder Box 4b, is the accompanying | 6. Microfiche Computer Program (Appendix) 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Copy b. X Paper Copy (identical to computer copy) c. X Statement verifying identity of above copies ACCOMPANYING APPLICATION PARTS 8. X Assignment Papers (cover sheet & document(s)) 9. 37 C.F.R.§3.73(b) Statement (if applicable) 10. English Translation Document (if applicable) 11. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations 12. Preliminary Amendment 13. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized) * Small Entity Statement filed in prior application, Statement(s) (if foreign priority is claimed) 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. Other: | | | |
| application and is hereby incorporated by reference therein. 17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment Continuation Divisional Continuation-in-part (CIP) of prior application No: | | | | | | |
| PTION BE | Prior application information: Examiner Group / Art Unit: | | | | | |
| 18. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label (Insert Customer No. or Attach bar code tabel (term): | | | | | | |
| Name | Jeffrey B. Oste Davis Wright Tr | - | | | | |
| Address | 2600 Century Sq 1501 Fourth Ave | uare nue | | | | |
| City | Seattle | State | WA Zip Code 98101-1688 | | | |
| Country | USA | | 206-628-7711 Fex 206-628-7699 | | | |
| Name (Print/Type) Jeffrey B. Oster Registration No. (Attorney/Agent) 32,585 | | | | | | |
| Signature July 5. At Date 20 Tulliage 1649 | | | | | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES R COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE



Title: HER-2 BINDING ANTAGONISTS

Attorney Docket No. 49321-1

Applicant:

Joni Kristin Doherty and Gail M. Clinton

Application Serial No. to be assigned

Date of Deposit:

20 January 1999

EXPRESS MAIL LABEL NUMBER: EM563437079US

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date listed below, and is addressed to Box PCT, Assistant Commissioner for Patents, Washington, D.C. 20231.

Signed

Kay Bulen

Date